

General information about company	
Scrip code	530815
Name of the company	REFNOL RESINS & CHEMICALS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	8	1655431			1655431	53.58	1655431		1655431	53.58
(B)	Public	1392	1434469			1434469	46.42	1434469		1434469	46.42
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1400	3089900			3089900	100	3089900		3089900	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.58					1655431
(B)	Public				46.42					1178904
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					2834335

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	1304431			1304431	42.22	1304431		1304431	42.22
(d)	Any Other (specify)	3	351000			351000	11.36	351000		351000	11.36
Sub-Total (A) (1)		8	1655431			1655431	53.58	1655431		1655431	53.58
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		8	1655431			1655431	53.58	1655431		1655431	53.58
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1231	883605			883605	28.6	883605		883605	28.6
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	257237			257237	8.33	257237		257237	8.33
(e)	Any Other (specify)	156	293627			293627	9.5	293627		293627	9.5
Sub-Total (B) (3)		1392	1434469			1434469	46.42	1434469		1434469	46.42
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1392	1434469			1434469	46.42	1434469		1434469	46.42
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1400	3089900			3089900	100	3089900		3089900	100
Total (A+B+C)		1400	3089900			3089900	100	3089900		3089900	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				42.22					1304431
(d)				11.36					351000
Sub-Total (A) (1)				53.58					1655431
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				53.58					1655431
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				28.6					708440
(a(ii))				8.33					257237
(e)				9.5					213227
Sub-Total (B) (3)				46.42					1178904
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				46.42					1178904
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					2834335
Total (A+B+C)				100					2834335

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	Mahendra Kishore Khatau	Manish Mahendra Khatau	Priya Mahendra Khatau	Asha Mahendra Khatau	Anil Kishore Khatau	Click here to go back
PAN (II)	AADPK4726G	ANUPK5759P	AFJPK7433F	AAQPK8472L	AADPK5658D	Total
No. of fully paid up equity shares held (IV)	1281789	8553	7021	6768	300	1304431
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1281789	8553	7021	6768	300	1304431
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	41.48	0.28	0.23	0.22	0.01	42.22
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	1281789	8553	7021	6768	300	1304431
Class eg:y						
Total	1281789	8553	7021	6768	300	1304431
Total as a % of Total Voting rights	41.48	0.28	0.23	0.22	0.01	42.22
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	41.48	0.28	0.23	0.22	0.01	42.22
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						

Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	1281789	8553	7021	6768	300	1304431
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Khatau Leasing And Finance Company Private Limited	Vindhyapriya Holdings Pvt Ltd	Prism Plantations Pvt Ltd	
PAN (II)	AAACK2824K	AAACV3448G	AABCP4400B	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	225000	125000	1000	351000
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	225000	125000	1000	351000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.28	4.05	0.03	11.36
Number of Voting Rights held in each class of securities (IX)				
Class eg. X	225000	125000	1000	351000
Class eg.y				
Total	225000	125000	1000	351000
Total as a % of Total Voting rights	7.28	4.05	0.03	11.36
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	7.28	4.05	0.03	11.36

Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	225000	125000	1000	351000
Reason for not providing PAN				

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	2	3	
Name of the Shareholders (I)	Mahendra Girdharilal	Raju Ajit Bhandari	Vijay Kumar Jain	Click here to go back
PAN (II)	AAAPW1327L	AELPB2295P	AAOPJ5870D	Total
No. of fully paid up equity shares held (IV)	56779	45000	100000	201779
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	56779	45000	100000	201779
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.84	1.46	3.24	6.53
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	56779	45000	100000	201779
Class eg:y				
Total	56779	45000	100000	201779
Total as a % of Total Voting rights	1.84	1.46	3.24	6.53
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.84	1.46	3.24	6.53
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				

Number of equity shares held in dematerialized form (XIV)	56779	45000	100000	201779
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	HUF	NRI – Non-Repatriation	NRI – Repatriation	Clearing Members	Bodies Corporate	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)						Navjeevan Equity Broking Pvt. Ltd.
PAN (II)						AACCG2788C
No. of the Shareholders (I)	54	7	46	22	27	1
No. of fully paid up equity shares held (IV)	65439	13718	97918	74653	41899	60000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	65439	13718	97918	74653	41899	60000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.12	0.44	3.17	2.42	1.36	1.94
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	65439	13718	97918	74653	41899	60000
Class eg:y						
Total	65439	13718	97918	74653	41899	60000
Total as a % of Total Voting rights	2.12	0.44	3.17	2.42	1.36	1.94
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	2.12	0.44	3.17	2.42	1.36	1.94

(VII)+(X) As a % of (A+B+C2)						
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	65439	13718	17518	74653	41899	60000
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	156
No. of fully paid up equity shares held (IV)	293627
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	293627
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.51
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	293627
Class eg:y	
Total	293627
Total as a % of Total Voting rights	9.51
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a %	9.51

of (A+B+C2)	
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	213227
Reason for not providing PAN	
Reason for not providing PAN	

